# AN EFFICIENT CLASS OF CHAIN DUAL TO RATIO TYPE IMPUTATION METHOD FOR ESTIMATING FINITE POPULATION MEAN UNDER DOUBLE SAMPLING IN CASE OF MISSING DATA

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#### Abstract

In this present study we have suggested an efficient class of chain dual to ratio type imputation method and corresponding point estimator of finite population mean with imputation of missing data under double sampling. The Bias and Mean Square Error of the proposed estimator have been derived in terms of parameters. Theoretical and empirical studies are carried out to verify the superiorities of the proposed estimator over existing estimators.

*Key words:* Bias, MSE, Exponential estimators, Imputation, Simple random sampling, Double sampling.

#### **1. INTRODUCTION**

Generally population parameters are estimated by using a suitable corresponding statistics, known as estimator. Thus for estimating population mean, sample mean is the most appropriate estimator. Although it is unbiased, it has a large



amount of variation. Therefore we seek such an estimator which may be biased but has smaller Mean Square Error (MSE) as compared to sample mean. This is achieved through the use of an auxiliary variable (X) that has highly positive or negative correlation with the study variable (Y). Cochran (1940) used the information of auxiliary variable that has highly positive correlation with the study variable to estimate the finite population mean of the study variable known as Classical ratio estimator. Further these techniques are extended by Srivenkataraman (1980). Bhal and Tuteja (1991) proposed a dual to ratio type estimator and exponential ratio type estimator of finite population mean under simple random sampling. The above estimators are used to estimate finite population mean under the assumption that the population mean  $\overline{X}$  of the auxiliary variable is available. When  $\overline{X}$  unknown then twophase or double sampling method is used to overcome it, suggested by Neyman (1938). Kumar and Bhal (2006), Singh and Vishwakarma (2007), Kalita and Singh (2013), Sukla (2019) and many others are proposed ratio type estimator of finite population mean under two phrase sampling. Sometimes it is seen that auxiliary variable X is highly correlated with another variable Z is relatively less correlated to the study variable in comparison to the auxiliary variable X. In such situation the information of both X and Z are used to estimate the finite population mean of the study variable. Chand (1975) introduced a technique of chaining the information of two auxiliary variables with the study variable in two phrase sampling. Further similar work were extended by Singh and Choudhary (2012), Kalita et al. (2013), Singh and Majhi (2014), Singh and Ahmed (2015) and many others. The above methods are applicable in use when all the information of the variables X, Y& Z are available in the sample. In practical situations some unit information are not available due to nonresponse of the respondents or any other causes in the sample(s). Imputations technique is one of the most common scientific techniques to replace such missing



information by using the information available in the sample. Mean method of imputation, ratio method of imputation, compromised method of imputation etc. are well known imputation techniques to estimate the finite population mean of the study variable. Other imputation methods developed by many scholars viz., Upadhyaya and Singh (1999), Ahmed *et al.* (2006), Shukla and Thakur(2008), Shukla et al. (2009), Thakur et al. (2013), Kadilar and Cingi (2008), Singh *et al.* (2014), Singh *et al.* (2015), Diana and Peri (2010), Gogoi and Singh (2017,2018, 2019), Nath and Singh (2018), Nath *et al.* (2020),

#### 2. NOTATIONS

Let  $\Omega = \{1, 2, 3, ..., N\}$  be a finite population of size N and Y is the study variable and X, Z are the auxiliary variables.  $\overline{Y}$ ,  $\overline{X}$  and  $\overline{Z}$  are the population mean of the variable Y, X and Z respectively, and letting  $\overline{X}$  be unknown.

Consider a first phase sample  $S_1$  of size  $n_1$  drawn from the population  $\Omega$  by using SRSWOR method and a second sample S of size  $n(n < n_1)$  drawn from  $S_1$ .

Let the second sample S contains r(r < n) responding units forming a sub space A and (n-r) non-responding units with sub space  $A^C$ , such that  $S = A \cup A^C$ . For every  $i \in A$ ,  $y_i$  is observed available. For  $i \in A^C$ ,  $y_i$  values are missing and imputed values are computed. The  $i^{th}$  value  $x_i$  and  $z_i$  of auxiliary variables are used as a source of imputation for missing data when  $i \in A^C$ , assuming that in  $S_1$  the data  $\{(x_i, z_i); i \in S\}$  and  $\{(x_i, z_i); i \in S_1\}$  are known.



$$\begin{split} \overline{y}_{n} &= \frac{1}{n} \sum_{i \in S} Y_{i} & \overline{x}_{n} = \frac{1}{n} \sum_{i \in S} X_{i} & \overline{x}_{r} = \frac{1}{r} \sum_{i \in A} X_{i} \\ \overline{y}_{r} &= \frac{1}{r} \sum_{i \in A} Y_{i} & \overline{z}_{r} = \frac{1}{r} \sum_{i \in A} Z_{i} & \overline{x}_{1} = \frac{1}{n_{1}} \sum_{i \in S_{1}} x_{i} \\ \overline{y}_{1} &= \frac{1}{n_{1}} \sum_{i \in S_{1}} y_{i} & \overline{z}_{1} = \frac{1}{n_{1}} \sum_{i \in S_{1}} z_{i} \\ \overline{X} &= \frac{1}{N} \sum_{i \in \Omega} X_{i} & \overline{Y} = \frac{1}{N} \sum_{i \in \Omega} Y_{i} & \overline{Z} = \frac{1}{N} \sum_{i \in \Omega} Z_{i} \\ S_{X}^{2} &= \frac{1}{N-1} \sum_{i \in \Omega} (X_{i} - \overline{X})^{2} & S_{Y}^{2} = \frac{1}{N-1} \sum_{i \in \Omega} (Y_{i} - \overline{Y})^{2} \\ S_{Z}^{2} &= \frac{1}{N-1} \sum_{i \in \Omega} (Z_{i} - \overline{Z})^{2} & S_{XY} = \frac{1}{N-1} \sum_{i \in \Omega} (X_{i} - \overline{X}) (Y_{i} - \overline{Y}) \\ S_{YZ} &= \frac{1}{N-1} \sum_{i \in \Omega} (Y_{i} - \overline{Y}) (Z_{i} - \overline{Z}) & S_{ZX} = \frac{1}{N-1} \sum_{i \in \Omega} (Z_{i} - \overline{Z}) (X_{i} - \overline{X}) \\ \rho_{YX} &= \frac{S_{XY}}{S_{X}S_{Y}} & \rho_{YZ} = \frac{S_{YZ}}{S_{Y}S_{Z}} & \rho_{ZX} = \frac{S_{ZX}}{S_{Z}S_{X}} \\ C_{X} &= \frac{S_{X}}{\overline{X}} & C_{Y} = \frac{S_{Y}}{\overline{Y}} & C_{Z} = \frac{S_{Z}}{\overline{Z}} \\ \theta_{a,b} &= \frac{1}{a} - \frac{1}{b} \end{split}$$

# **3. PROPOSED METHOD OF IMPUTATION AND CORRESPONDING POINT ESTIMATOR:**

Kumar and Bhal (2006) suggested a dual to ratio estimator of population mean of the study variable  $\overline{Y}$  in double sampling as

$$\overline{y}_{d\,\text{Re}}^{dc} = \overline{y}_{n} \left[ \frac{n_{1}\overline{x}_{1} - n\overline{x}_{n}}{(n_{1} - n)\overline{x}_{1}} \right]$$



Motivated by Kumar and Bhal (2006), we have proposed the following chain dual to ratio type imputation method in double sampling

$$y_{\cdot i} = y_{i} \qquad \text{if} \qquad i \in A$$
$$= \frac{\overline{y}_{r}}{n - r} \left[ n\psi^{\beta} - r \right] \qquad \text{if} \qquad i \in A^{C}$$
$$where \quad \psi = \left[ \frac{n_{1}\overline{x}_{1} \left( \frac{\overline{Z} + \alpha}{\overline{z} + \alpha} \right) - n\overline{x}_{r}}{(n_{1} - n)\overline{x}_{1} \left( \frac{\overline{Z} + \alpha}{\overline{z} + \alpha} \right)} \right]$$

Under the above imputation method, the point estimator of population mean  $\overline{Y}$  is

$$\overline{y}_{SG}^{dc} = \frac{1}{n} \left\{ \sum_{i \in A} y_i \psi^{\beta} + \sum_{i \in A} \overline{y}_r \psi^{\beta} \right\}$$
$$= \frac{1}{n} \left[ r \overline{y}_r \psi^{\beta} + (n-r) \overline{y}_r \psi^{\beta} \right]$$
$$= \overline{y}_r \left[ \frac{n_1 \overline{x}_1 \left( \frac{\overline{Z} + \alpha}{\overline{z} + \alpha} \right) - n \overline{x}_r}{(n_1 - n) \overline{x}_1 \left( \frac{\overline{Z} + \alpha}{\overline{z} + \alpha} \right)} \right]$$

Where  $\alpha$  and  $\beta$  are constants to be determined such that the estimator  $t_{SG}^{dc}$  has minimum MSE

Remarks :

a. If  $\beta = 0$ , the proposed estimator reduces to the estimator

 $t_{SG}^{dc} = \overline{y}_r$  which is the point estimator of mean method of imputation.

b. If  $\beta = 1$  and  $\alpha = \alpha_1$ , the proposed estimator reduces to the estimator



$$\overline{y}_{SG}^{dc} = \overline{y}_r \left[ \frac{n_1 \overline{x}_1 \left( \frac{\overline{Z} + \alpha_1}{\overline{z} + \alpha_1} \right) - n \overline{x}_r}{(n_1 - n) \overline{x}_1 \left( \frac{\overline{Z} + \alpha_1}{\overline{z} + \alpha_1} \right)} \right] = t_1(say)$$

c. If  $\alpha = 0$  and  $\beta = \beta_1$ , the proposed estimator reduces to the estimator

$$\overline{y}_{SG}^{dc} = \overline{y}_r \left[ \frac{n_1 \overline{x}_1 \frac{\overline{Z}}{\overline{z}_1} - n \overline{x}_r}{\left(n_1 - n\right) \overline{x}_1 \frac{\overline{Z}}{\overline{z}_1}} \right]^{\beta_1} = t_2(say)$$

d. If  $\alpha = 0$  and  $\beta = 1$ , the proposed estimator reduces to the estimator

$$\overline{y}_{SG}^{dc} = \overline{y}_r \left[ \frac{n_1 \overline{x}_1 \frac{\overline{Z}}{\overline{z}_1} - n \overline{x}_r}{(n_1 - n) \overline{x}_1 \frac{\overline{Z}}{\overline{z}_1}} \right] = t_3(say)$$

### 4. PROPERTIES OF THE PROPOSED ESTIMATOR

To derive the properties of the proposed estimator we have consider the following transformations

$$\overline{y}_r = \overline{Y}(1+e_0) \qquad \overline{x}_r = \overline{X}(1+e_1) \qquad \overline{x}_1 = \overline{X}(1+e_2) \qquad \overline{z}_1 = \overline{Z}(1+e_3)$$
  
such that  $e_i \in (-1,1) \qquad \forall i = 0, 1, 2, 3$ 



$$E(e_{0}) = E(e_{1}) = E(e_{2}) = E(e_{3}) = 0$$
  

$$E(e_{0}^{2}) = \theta_{r,N}C_{Y}^{2} \qquad E(e_{1}^{2}) = \theta_{r,N}C_{X}^{2} \qquad E(e_{2}^{2}) = E(\delta_{1}\delta_{2}) = \theta_{n_{1},N}C_{X}^{2}$$
  

$$E(e_{3}^{2}) = \theta_{n_{1},N}C_{Z}^{2} \qquad E(e_{0}e_{1}) = \theta_{r,N}\rho_{XY}C_{Y}C_{X} \qquad E(e_{0}e_{2}) = \theta_{n_{1},N}\rho_{XY}C_{Y}C_{X}$$
  

$$E(e_{0}e_{3}) = \theta_{n_{1},N}\rho_{YZ}C_{Y}C_{Z} \qquad E(e_{1}e_{3}) = E(e_{2}e_{3}) = \theta_{n_{1},N}\rho_{ZX}C_{Z}C_{X}$$

Expressing  $t_{SG}^{dc}$  in terms of *e*'s retaining the terms up to first order of approximation, we have

$$\overline{y}_{SG}^{dc} - \overline{Y} = \overline{Y} \left\{ e_0 + g\beta P + g^2 \left( \frac{\beta^2 - \beta}{2} \right) Q \right\}$$
(*i*)  
where  $P = e_1 - e_2 + \phi e_3 + \phi e_1 e_3 - e_1 e_2 - \phi e_2 e_3 + e_2^2 + e_0 e_1 - e_0 e_2 - \phi e_0 e_3$   
 $Q = e_1^2 + e_2^2 + \phi^2 e_3^2 - 2e_1 e_2 + 2\phi e_1 e_3 - 2\phi e_2 e_3$   
 $g = \frac{n}{n_1 - n} \qquad \phi = \left( 1 + \frac{\alpha}{\overline{Z}} \right)^{-1}$ 

**Theorem** 4.1Bias and *MSE* of the proposed estimator up to first order approximation is

$$Bias(\overline{y}_{SG}^{dc}) = \overline{Y} \left\{ \left( \frac{\beta^2 - \beta}{2} \right) g^2 \left( \theta_{r,n_1} C_x^2 + \theta_{n,N} \phi^2 C_z^2 \right) - g\beta \left( \theta_{r,n_1} \rho_{XY} C_Y C_X + \theta_{n,N} \phi \rho_{YZ} C_Y C_Z \right) \right\}$$
(*ii*)

$$MSE(\overline{y}_{GS}^{dc}) = \overline{Y}^{2} \left\{ \theta_{r,N} C_{Y}^{2} + g^{2} \beta^{2} \left( \theta_{r,n_{1}} C_{X}^{2} + \theta_{n_{1},N} \phi^{2} C_{Z}^{2} \right) - 2g \beta \left( \theta_{r,n_{1}} \rho_{XY} C_{Y} C_{X} + \theta_{n_{1},N} \phi \rho_{YZ} C_{Y} C_{Z} \right) \right\}$$
(*iii*)

Proof: Taking expectations on both sides of equation (i) and putting the corresponding expected values, we have

$$Bias(\overline{y}_{SG}^{dc}) = \overline{Y} \left\{ -g\beta E(P) + g^2 \left( \frac{\beta^2 - \beta}{2} \right) E(Q) \right\}$$
$$= \overline{Y} \left\{ \left( \frac{\beta^2 - \beta}{2} \right) g^2 \left( \theta_{r,n_1} C_X^2 + \theta_{n_1,N} \phi^2 C_Z^2 \right) - g\beta \left( \theta_{r,n_1} \rho_{XY} C_Y C_X + \theta_{n_1,N} \phi \rho_{YZ} C_Y C_Z \right) \right\}$$

Squaring both the sides of equation (i) and taking expectation retaining the terms up to first order approximation, we have



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$$MSE(\overline{y}_{SG}^{dc}) = \overline{Y}^2 \left\{ E\left(e_0^2\right) + g^2 \beta^2 E\left(P_1\right) + 2g \beta E\left(Q_1\right) \right\}$$
(*iv*)  
Where

$$P_1 = e_2^2 + e_1^2 + \phi^2 e_3^2 - 2e_1 e_2 - 2\phi e_2 e_3 + 2\phi e_1 e_3$$
$$Q_1 = e_0 e_2 - e_0 e_1 - \phi e_0 e_3$$

Putting the corresponding expected values in equation (iv), we have

$$MSE(t_{SG}^{dc}) = \overline{Y}^2 \left\{ \theta_{r,N} C_Y^2 + g^2 \beta^2 \left( \theta_{r,n_1} C_X^2 + \theta_{n_1,N} \phi^2 C_Z^2 \right) - 2g\beta \left( \theta_{r,n_1} \rho_{XY} C_X C_Y + \theta_{n_1,N} \phi \rho_{YZ} C_Y C_Z \right) \right\}$$

**Theorem 4.2** Minimum MSE of the proposed estimator  $\overline{y}_{GS}^{dc}$  is

$$MSE\left(\overline{y}_{SG}^{dc}\right)_{\min} = \overline{Y}^{2}\left\{\theta_{r,N}C_{Y}^{2} - \left(\theta_{r,n_{1}}\rho_{XY}^{2} + \theta_{n_{1},N}\rho_{YZ}^{2}\right)C_{Y}^{2}\right\}$$

Proof: Differentiating equation (*iii*) with respect to  $\phi$  and  $\beta$  and equating to zero, we have

$$\beta_{opt} = \frac{\rho_{XY}}{g} \frac{C_Y}{C_X} and \qquad \phi_{opt} = \frac{\rho_{YZ}}{\rho_{XY}} \frac{C_X}{C_Z}$$

Again

$$\begin{bmatrix} \frac{\delta^{2}}{\delta\beta^{2}} MSE(\bar{\mathbf{y}}_{SG}^{dc}) \end{bmatrix}_{\substack{\beta=\beta_{opt}\\ \phi=\phi_{opt}}} = 2\bar{Y}^{2}g^{2}\left(\theta_{r,\mathbf{n}_{1}}C_{X}^{2} + \theta_{\mathbf{n}_{1},N}\phi_{opt}^{2}C_{Z}^{2}\right) > 0$$

$$\begin{bmatrix} \frac{\delta^{2}}{\delta\phi^{2}} MSE(\bar{\mathbf{y}}_{SG}^{dc}) \end{bmatrix}_{\substack{\beta=\beta_{opt}\\ \phi=\phi_{opt}}} = 2\bar{Y}^{2}g^{2}\beta_{opt}^{2}\left(\theta_{\mathbf{n}_{1},N}C_{Z}^{2}\right) > 0$$

$$\begin{bmatrix} \frac{\delta^{2}}{\delta\beta^{2}} MSE(\bar{\mathbf{y}}_{SG}^{dc}) \frac{\delta^{2}}{\delta\phi^{2}} MSE(\bar{\mathbf{y}}_{SG}^{dc}) - \left\{\frac{\delta^{2}}{\delta\beta\delta\phi} MSE(\bar{\mathbf{y}}_{SG}^{dc})\right\}^{2} \end{bmatrix}_{\substack{\beta=\beta_{opt}\\ \phi=\phi_{opt}}} = A\rho_{YX}^{2} + B\rho_{YZ}^{2} - B\rho_{YZ}^{2} = A\rho_{YX}^{2} > 0$$



Where

$$A = 4\bar{Y}^4 g^2 \theta_{r,n_1} \theta_{n_1,N} C_Y^2 C_Z^2 > 0 \text{ and } B = 4\bar{Y}^4 g^2 \theta_{n_1,N}^2 C_Y^2 C_Z^2 > 0$$

Thus putting the value of  $\beta = \beta_{opt}$  and  $\phi = \phi_{opt}$  in equation (*iii*) we have minimum Mean Square Error of  $\overline{y}_{SG}^{dc}$ 

$$\begin{split} MSE(\bar{\mathbf{y}}_{SG}^{dc})_{\min} &= \bar{Y}^{2} \left\{ \theta_{\mathbf{r},N} C_{Y}^{2} + g^{2} \beta_{opt}^{2} \left( \theta_{\mathbf{r},\mathbf{n}_{1}} C_{X}^{2} + \theta_{\mathbf{n}_{1},N} \phi_{opt}^{2} C_{Z}^{2} \right) - 2g \beta_{opt} \left( \theta_{\mathbf{r},\mathbf{n}_{1}} \rho_{XY} C_{Y} C_{X} + \theta_{\mathbf{n}_{1},N} \phi_{opt} \rho_{YZ} C_{Y} C_{Z} \right) \right\} \\ &= \bar{Y}^{2} \left\{ \theta_{\mathbf{r},N} C_{Y}^{2} - \left( \theta_{\mathbf{r},\mathbf{n}_{1}} \rho_{XY}^{2} + \theta_{\mathbf{n}_{1},N} \rho_{YZ}^{2} \right) C_{Y}^{2} \right\} \end{split}$$

**Theorem 4.3** Minimum Bias and Mean Square Error of the estimator  $t_1$  is

$$Bias(t_{1})_{\min} = -g\overline{Y} \left\{ \theta_{r,n_{1}} \rho_{YX} C_{Y} C_{X} + \hat{\phi}_{1} \theta_{n_{1},N} \rho_{YZ} C_{Y} C_{Z} \right\}$$
$$MSE(t_{1})_{\min} = \overline{Y}^{2} \left\{ \theta_{r,N} C_{Y}^{2} - \theta_{n_{1},N} \rho_{YZ}^{2} C_{Y}^{2} + \theta_{r,n_{1}} \left( g^{2} C_{X}^{2} - 2g \rho_{YX} C_{Y} C_{X} \right) \right\}$$
$$\hat{\phi}_{1} = \left( 1 + \frac{\hat{\alpha}_{1}}{\overline{Z}} \right)^{-1} = \frac{\rho_{YZ} C_{Y}}{g C_{Z}}$$

**Theorem 4.4** Minimum Bias and Mean Square Error of the estimator  $t_2$  is

$$Bias(t_{2})_{\min} = -\overline{Y} \left\{ g^{2} \left( \frac{\hat{\beta}_{1}^{2} - \hat{\beta}_{1}}{2} \right) \left( \theta_{r,n_{1}} C_{X}^{2} + \theta_{n_{1},N} C_{Z}^{2} \right) - g \hat{\beta}_{1} \left( \theta_{r,n_{1}} \rho_{YX} C_{Y} C_{X} + \theta_{n_{1},N} \rho_{YZ} C_{Y} C_{Z} \right) \right\}$$
$$MSE(t_{2})_{\min} = \overline{Y}^{2} \left\{ \theta_{r,N} C_{Y}^{2} - \frac{\left( \theta_{r,n_{1}} \rho_{XY} C_{X} C_{Y} + \theta_{n_{1},N} \rho_{YZ} C_{Y} C_{Z} \right)^{2}}{\theta_{r,n_{1}} C_{X}^{2} + \theta_{n_{1},N} C_{Z}^{2}} \right\}$$
$$\hat{\beta}_{1} = \frac{\theta_{r,n_{1}} \rho_{XY} C_{X} C_{Y} + \theta_{n_{1},N} \rho_{YZ} C_{Y} C_{Z}}{g \left( \theta_{r,n_{1}} C_{X}^{2} + \theta_{n_{1},N} C_{Z}^{2} \right)}$$



**Theorem 4.5** Minimum Bias and Mean Square Error of the estimator  $t_3$  is

$$Bias(t_3) = -g\overline{Y}(\theta_{r,n_1}\rho_{XY}C_XC_Y + \theta_{n_1,N}\rho_{YZ}C_YC_Z)$$

$$MSE(t_{3}) = \overline{Y}^{2} \left\{ \theta_{r,N} C_{Y}^{2} - \theta_{r,n_{1}} \rho_{YX}^{2} C_{Y}^{2} - \theta_{n_{1},N} \rho_{YZ}^{2} C_{Y}^{2} + \theta_{r,n_{1}} \left( gC_{X} - \rho_{YX} C_{Y} \right)^{2} + \theta_{n_{1},N} \left( gC_{Z} - \rho_{YZ} C_{Y} \right)^{2} \right\}$$

#### **5. SOME EXISTING IMPUTATION METHODS**

Here we consider some existing imputation methods for estimating the population mean of the study variable  $\overline{Y}$  where the population mean of the auxiliary variable  $\overline{X}$  is not used.

# 5.1 Mean method of imputation

Under this imputation method

$$y_{\cdot i} = y_i \qquad \qquad if \qquad i \in A$$
$$= \overline{y}_r \qquad \qquad if \qquad i \in A^C$$

The corresponding point estimator is

$$\overline{y}_m = \overline{y}_r$$

Lemma 1. The Bias and Variance of the point estimator  $\overline{y}_r$  is

$$Bias\left(\overline{y}_{r}\right) = 0$$
$$Var\left(\overline{y}_{r}\right) = \overline{Y}^{2}\theta_{r,N}C_{Y}^{2}$$



# 5.2 Ratio method of imputation [Lee and Sarndal (1994)]

Under this imputation method

$$y_{0i} = y_i \qquad \qquad if \qquad i \in A$$
$$= \hat{b}x_i \qquad \qquad if \qquad i \in A^C$$

Where

$$\hat{b} = \frac{\sum_{i \in A} y_i}{\sum_{i \in A} y_i}$$

The corresponding point estimator is

$$\overline{y}_R = \overline{y}_r \, \frac{\overline{x}_n}{\overline{x}_r}$$

Lemma 2. The Bias and Mean Square Error of the point estimator  $\overline{y}_R$  is

$$Bias\left(\overline{y}_{R}\right) = \overline{Y}\theta_{r,N}\left(C_{X}^{2} - \rho_{YX}C_{Y}C_{X}\right)$$
$$MSE\left(\overline{y}_{R}\right) = \overline{Y}^{2}\left[\theta_{r,N}C_{Y}^{2} + \theta_{r,n}\left(C_{X}^{2} - 2\rho_{YX}C_{Y}C_{X}\right)\right]$$

### 5.3 Compromised method of imputation [Singh and Horn (2000)]

Under this imputation method

$$y_{0i} = \beta \frac{n}{r} y_i + (1 - \beta) \hat{b} x_i \qquad \text{if} \qquad i \in A$$
$$= (1 - \beta) \hat{b} x_i \qquad \text{if} \qquad i \in A^C$$

The corresponding point estimator is

$$\overline{y}_{comp} = \beta \overline{y}_r + (1 - \beta) \overline{y}_r \frac{\overline{x}_n}{\overline{x}_r}$$

Where  $\beta$  is a suitable chosen constant such that the Mean Square Error of the point estimator  $\overline{y}_{comp}$  has minimum.



Lemma 3. The Bias and Mean Square Error of the point estimator  $\overline{y}_{comp}$  is

$$Bias\left(\overline{y}_{comp}\right)_{\min} = \overline{Y}\theta_{r,n}\left(1 - \rho_{XY}\frac{C_Y}{C_X}\right)\rho_{XY}C_XC_Y$$
$$MSE\left(\overline{y}_{comp}\right)_{\min} = \overline{Y}^2\left(\theta_{r,N}C_Y^2 - \theta_{r,n}\rho_{YX}^2C_Y^2\right)$$

# 5.4 Dual to ratio method of imputation [Nath and Singh(2018)]

Under this imputation methods

$$y_{1i} = y_i \qquad \text{if} \qquad i \in A$$
$$= \frac{1}{n - r} \left[ n \overline{y}_r \left\{ \frac{n_1 \overline{x}_1 - n \overline{x}_n}{(n_1 - n) \overline{x}_1} \right\}^{\gamma_1} - r \overline{y}_r \right] \qquad \text{if} \qquad i \in A^c$$

$$y_{2i} = y_i \qquad \text{if} \qquad i \in A$$
$$= \frac{1}{n - r} \left[ n \overline{y}_r \left\{ \frac{n_1 \overline{x}_n - n \overline{x}_r}{(n_1 - n) \overline{x}_n} \right\}^{\gamma_1} - r \overline{y}_r \right] \qquad \text{if} \qquad i \in A^c$$

$$y_{3i} = y_i \qquad \qquad if \qquad i \in A$$
$$= \frac{1}{n - r} \left[ n \overline{y}_r \left\{ \frac{n_1 \overline{x}_1 - n \overline{x}_r}{(n_1 - n) \overline{x}_1} \right\}^{\gamma_3} - r \overline{y}_r \right] \qquad \qquad if \qquad i \in A^c$$

Where  $\gamma_1, \gamma_2$  and  $\gamma_3$  are suitably chosen constants such that the Mean Square Error of the resulting point estimator is minimum.



The corresponding point estimators are

$$\overline{y}_{iedr1}^{d} = \overline{y}_{r} \left[ \frac{n_{1}\overline{x}_{1} - n\overline{x}_{n}}{(n_{1} - n)\overline{x}_{1}} \right]^{\gamma_{1}}$$

$$\overline{y}_{iedr2}^{d} = \overline{y}_r \left\{ \frac{n_1 \overline{x}_n - n \overline{x}_r}{(n_1 - n) \overline{x}_n} \right\}^{\gamma_2}$$

$$\overline{y}_{iedr3}^{dc} = \overline{y}_r \left\{ \frac{n_1 \overline{x}_1 - n \overline{x}_r}{(n_1 - n) \overline{x}_1} \right\}^{\gamma_3}$$

Lemma 4. The minimum Bias and Mean Square Error of the point estimators  $\overline{y}_{IRP1}^{dc}, \overline{y}_{IRP2}^{dc}$  and  $\overline{y}_{IRP3}^{dc}$  are

$$\begin{split} Bias\left(\overline{y}_{iedr1}^{d}\right)_{\min} &= -\overline{Y}\left\{\theta_{2n,2n_{l}}\left(g\rho_{YX}C_{X}C_{Y}+\rho_{YX}^{2}C_{Y}^{2}\right)\right\}\\ Bias\left(\overline{y}_{iedr2}^{d}\right)_{\min} &= -\overline{Y}\left\{\theta_{2r,2n}\left(g\rho_{YX}C_{X}C_{Y}+\rho_{YX}^{2}C_{Y}^{2}\right)\right\}\\ Bias\left(\overline{y}_{iedr3}^{d}\right)_{\min} &= -\overline{Y}\left\{\theta_{2r,2n_{l}}\left(g\rho_{YX}C_{X}C_{Y}+\rho_{YX}^{2}C_{Y}^{2}\right)\right\}\\ MSE\left(\overline{y}_{iedr1}^{d}\right)_{\min} &= \overline{Y}^{2}\left\{\theta_{r,N}C_{Y}^{2}-\theta_{n,n_{l}}\rho_{YX}^{2}C_{Y}^{2}\right\}\\ MSE\left(\overline{y}_{iedr2}^{d}\right)_{\min} &= \overline{Y}^{2}\left\{\theta_{r,N}C_{Y}^{2}-\theta_{r,n}\rho_{YX}^{2}C_{Y}^{2}\right\}\\ MSE\left(\overline{y}_{iedr3}^{d}\right)_{\min} &= \overline{Y}^{2}\left\{\theta_{r,N}C_{Y}^{2}-\theta_{r,n}\rho_{YX}^{2}C_{Y}^{2}\right\} \end{split}$$

# 6. COMPARISON WITH EXISTING ESTIMATORS.

In this section we compare the minimum Mean Square Error of the proposed estimator with existing estimators considered in this study.



6.1 Comparison with Mean Method of Imputation

$$V\left(\overline{y}_{m}\right) - MSE\left(\overline{y}_{SG}^{dc}\right) = \overline{Y}^{2}\left(\theta_{r,n_{1}}\rho_{YX}^{2} + \theta_{n_{1},N}\rho_{YZ}^{2}\right)C_{Y}^{2} > 0$$

6.2 Comparison with Ratio Method of Imputation

$$MSE\left(\overline{y}_{R}\right) - MSE\left(\overline{y}_{SG}^{dc}\right) = \overline{Y}^{2} \left\{ \theta_{r,n} \left(C_{X} - \rho_{YX}\right)^{2} + \theta_{r,n_{l}} \rho_{XY}^{2} + \theta_{n_{l},N} \rho_{YZ}^{2} \right\} C_{Y}^{2} > 0$$

6.3 Comparison with Compromised Method of Imputation

$$MSE\left(\overline{y}_{com}\right)_{\min} - MSE\left(\overline{y}_{SG}^{dc}\right)_{\min} = \overline{Y}^{2}\left(\theta_{r,n_{l}}\rho_{XY}^{2} + \theta_{n_{l},N}\rho_{YZ}^{2}\right)C_{Y}^{2} > 0$$

6.4 Comparison with dual to Ratio Method of Imputation

$$MSE\left(\bar{y}_{iedr1}^{d}\right)_{\min} - MSE\left(\bar{y}_{SG}^{dc}\right)_{\min} = \bar{Y}^{2}\left(\theta_{r,n}\rho_{YX}^{2} + \theta_{n_{1},N}\rho_{YZ}^{2}\right)C_{Y}^{2} > 0$$
$$MSE\left(\bar{y}_{iedr2}^{d}\right)_{\min} - MSE\left(\bar{y}_{SG}^{dc}\right)_{\min} = \bar{Y}^{2}\left(\theta_{n,n_{1}}\rho_{YX}^{2} + \theta_{n_{1},N}\rho_{YZ}^{2}\right)C_{Y}^{2} > 0$$
$$MSE\left(\bar{y}_{iedr3}^{d}\right)_{\min} - MSE\left(\bar{y}_{SG}^{dc}\right)_{\min} = \bar{Y}^{2}\left(\theta_{n_{1},N}\rho_{YZ}^{2}C_{Y}^{2}\right) > 0$$

We have found that the Mean Square Error of the proposed estimator has less Mean Square Error of the existing estimators considered in this study. Therefore it is concluded that the proposed estimator is always better than the estimators

$$\overline{y}_m, \overline{y}_R, \overline{y}_{comp}, \overline{y}_{iedr1}^d, \overline{y}_{iedr2}^d and \overline{y}_{iedr3}^d$$



#### 7. EMPIRICAL STUDY

To examine the performances among the proposed estimators and existing estimators of the finite population mean considered in this research work, we have considered the following two populations. The percent relative efficiencies of the proposed imputation methods with respect to the ratio and compromised methods of imputation are given as

$$PRE_{I} = \frac{MSE(\overline{y}_{R})}{MSE(.)} \times 100 \text{ and } PRE_{II} = \frac{MSE\overline{y}(\overline{y}_{comp})}{MSE(.)} \times 100$$

**Population**  $P_1$ : This data has been taken from Sukhatme and Chand (1977) which has been reproduced in Singh *et al.* (1940). The particulars of the data are as under:

Y: Apple trees of bearing age in 1964

X: Bushels of apples harvesting in 1964

Z: Bushels of apples harvesting in 1959

N = 200

| $\bar{Y} = 1031.82$     | $\bar{X} = 2934.58$     | $\bar{Z} = 3651.49$                     |
|-------------------------|-------------------------|---|
| $ \rho_{_{YX}} = 0.93 $ | $ \rho_{_{YZ}} = 0.77 $ | $\rho_{\scriptscriptstyle X\!Z} = 0.84$ |
| $C_{Y}^{2} = 2.55280$   | $C_X^2 = 4.02504$       | $C_Z^2 = 2.09379$                       |

The combination of r, n and  $n_1$  are respectively taken as 20,25 and 60.

**Population**  $P_2$ : This data was artificially generated for three variables Y, X and Z by Shukla and Thakur (2008). Considering Y as study variable and X and Z respectively the main and additional auxiliary variables, we get the following population values:



| N = 200                   |                           |                         |
|---------------------------|---------------------------|-------------------------|
| $\bar{Y} = 42.485$        | $\bar{X} = 18.515$        | $\overline{Z} = 20.52$  |
| $ \rho_{_{YX}} = 0.8734 $ | $ \rho_{_{YZ}} = 0.8667 $ | $\rho_{_{XZ}} = 0.9943$ |
| $C_Y^2 = 0.10804$         | $C_X^2 = 0.1410$          | $C_Z^2 = 0.10864$       |

The combination of r, n and  $n_1$  are respectively taken as 22,30and 80.

| Estimator                            | MSE for Population I MSE for Population II |         |
|--------------------------------------|--|---------|
| $\overline{\mathcal{Y}}_r$           | 122303.02201                               | 7.88928 |
| $\overline{\mathcal{Y}}_R$           | 101679.01403                               | 6.25712 |
| $\overline{\mathcal{Y}}_{comp}$      | 98796.38118                                | 6.08609 |
| $\overline{\mathcal{Y}}_{iedr1}^d$   | 67454.97696                                | 4.78988 |
| $\overline{\mathcal{Y}}_{iedr2}^{d}$ | 98796.38118                                | 6.08609 |
| $\overline{\mathcal{Y}}_{iedr3}^{d}$ | 43947.63093                                | 2.98675 |

# **Table 1:** MSE of the existing estimators

Table 2: MSE of the Proposed Estimators

| Estimator                          | MSE for Population I | MSE for Population II |  |
|------------------------------------|----------------------|-----------------------|--|
| <i>t</i> <sub>1</sub>              | 32408.46074          | 2.11525               |  |
| t <sub>2</sub>                     | 25412.78682          | 1.90063               |  |
| <i>t</i> <sub>3</sub>              | 32889.04043          | 2.21801               |  |
| $\overline{\mathcal{Y}}_{SG}^{dc}$ | 25147.83918          | 1.88817               |  |



|                                    | Population I |                   | Population II    |                   |
|------------------------------------|--------------|-------------------|------------------|-------------------|
| Estimator                          | $PRE_{I}$    | PRE <sub>II</sub> | PRE <sub>I</sub> | PRE <sub>II</sub> |
| <i>t</i> <sub>1</sub>              | 313.7422     | 304.8475          | 295.8099         | 287.7244          |
| <i>t</i> <sub>2</sub>              | 400.1097     | 388.7664          | 329.2129         | 320.2144          |
| t <sub>3</sub>                     | 309.1577     | 300.3930          | 282.2105         | 274.3942          |
| $\overline{\mathcal{Y}}_{SG}^{dc}$ | 404.3251     | 392.8623          | 331.3854         | 322.3274          |

**Table 3:** PRE of the Proposed Estimators

#### 8. CONCLUSION

The mean square error of the proposed estimator is derived in terms of population parameters. *MSE* of the proposed estimator  $\overline{y}_{GS}^{dc}$  is compared with the *MSE* of existing estimators  $\overline{y}_m$ ,  $\overline{y}_R$ ,  $\overline{y}_{comp}$ ,  $\overline{y}_{iedr1}^d$ ,  $\overline{y}_{iedr2}^{dc}$  and  $\overline{y}_{iedr3}^{dc}$ . It has been found theoretically that the proposed estimator has lesser *MSE* than the estimators considered for comparisons. Considering two population data sets the *MSE* and *PRE* of the proposed and existing estimator are given in table 1, 2 and 3. It has been found that the proposed estimator performs better in both the populations. Thus, proposed estimator  $\overline{y}_{GS}^{dc}$  is preferable in use over other estimators.

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